

## EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	7	match-off near2 order adj flow	US-PGPUB; USPAT	OR	ON	2007/01/19 09:43
S2	8	malitzis.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:40
S3	50	("20030130925" "20020161687" "20030009412" "20030009413" "20030177086" "4412287" "20040215538" "20060149659" "20030009414" "20030097323" "20040024689" "20060069636" "20060080196" "20050114257" "20060206404" "20060085317" "6278982" "20020188548" "20030046218" "20050222936" "20040143539" "5774877" "5793301" "5797002" "5915245" "6539362" "6768981" "20020133454" "20020198813" "20030093359" "20050114258" "20050137962" "20050160024" "20050246263" "20040172337" "6272474" "20040193524" "20050044031" "20060069635" "20020073016" "6405180" "20040019553" "20040030630" "20030229566" "20030229568" "20030126065" "20030126066" "20060085312" "7110969" "20040210511" ).pn.	US-PGPUB; USPAT	OR	ON	2007/01/18 16:58
S4	1	internalization adj execution adj process	US-PGPUB; USPAT	OR	ON	2007/01/18 17:07
S5	1	S4 and match	US-PGPUB; USPAT	OR	ON	2007/01/18 17:07
S6	3649685	@ad<"20020107"	US-PGPUB; USPAT	OR	ON	2007/01/18 17:11
S7	5	S1 and S6	US-PGPUB; USPAT	OR	ON	2007/01/18 17:20
S8	482769	trad\$5	US-PGPUB; USPAT	OR	ON	2007/01/19 09:53
S9	435572	stock or securit\$4	US-PGPUB; USPAT	OR	ON	2007/01/19 09:53
S10	586	(equity or debt) near3 instrument	US-PGPUB; USPAT	OR	ON	2007/01/19 09:53
S11	435600	S9 or S10	US-PGPUB; USPAT	OR	ON	2007/01/19 09:54
S12	3315960	quote or order	US-PGPUB; USPAT	OR	ON	2007/01/19 09:54

## EAST Search History

S13	601724	bid\$3 or offer\$3	US-PGPUB; USPAT	OR	ON	2007/01/19 09:55
S14	7500	S8 near15 S11	US-PGPUB; USPAT	OR	ON	2007/01/19 09:55
S15	4042	S12 and S13 and S14	US-PGPUB; USPAT	OR	ON	2007/01/19 09:56
S16	3228548	@ad<"20010107"	US-PGPUB; USPAT	OR	ON	2007/01/19 09:56
S17	1076	S15 and S16	US-PGPUB; USPAT	OR	ON	2007/01/19 09:57
S18	510556	(broker or dealer or ecn or electronic adj communication adj network or market near2 maker or exchange)	US-PGPUB; USPAT	OR	ON	2007/01/19 09:59
S19	812	S17 and S18	US-PGPUB; USPAT	OR	ON	2007/01/19 10:01
S20	45332	"705".clas.	US-PGPUB; USPAT	OR	ON	2007/01/19 10:01
S21	392	S19 and S20	US-PGPUB; USPAT	OR	ON	2007/01/19 10:01
S22	834914	match\$8	US-PGPUB; USPAT	OR	ON	2007/01/19 10:01
S23	308	S21 and S22	US-PGPUB; USPAT	OR	ON	2007/01/19 10:03
S24	606	S19 and S22	US-PGPUB; USPAT	OR	ON	2007/01/19 10:03
S25	2	("5136501" "6421653").PN.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:30
S26	1	"20020198813".pn.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:32
S27	983	nasdaq	US-PGPUB; USPAT	OR	ON	2007/01/19 11:32
S28	95	S23 and S27	US-PGPUB; USPAT	OR	ON	2007/01/19 11:32
S29	256	nunes.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:48
S30	12	denat.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:45
S31	11326	peterson.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:45
S32	1024	ewing.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:45
S33	11	randich.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:45

## EAST Search History

S34	16611	moore.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:46
S35	471	ketchum.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:46
S36	20	furbish.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:46
S37	2	folkemer.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:47
S38	99736	franks.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:47
S39	1948	moran.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:47
S40	719	martyn.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:47
S41	6	serkin.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:47
S42	134788	peter.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:47
S43	1	dizenhaus.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:48
S44	2458	lopez.in.	US-PGPUB; USPAT	OR	ON	2007/01/19 11:48
S45	265718	S29 or S30 or S31 or S32 or S33 or S34 or S35 or S36 or S37 or S38 or S39 or S40 or S41 or S42 or S43 or S44	US-PGPUB; USPAT	OR	ON	2007/01/19 11:49
S46	116936	S16 and S45	US-PGPUB; USPAT	OR	ON	2007/01/19 11:49
S47	527	S20 and S46	US-PGPUB; USPAT	OR	ON	2007/01/19 11:50
S48	24587	S8 and S11 and S12 and S13	US-PGPUB; USPAT	OR	ON	2007/01/19 11:51
S49	75	S47 and S48	US-PGPUB; USPAT	OR	ON	2007/01/19 11:51
S50	61	S22 and S49	US-PGPUB; USPAT	OR	ON	2007/01/19 11:53
S51	812	S19 and S48	US-PGPUB; USPAT	OR	ON	2007/01/19 11:53
S52	41	S19 and S45	US-PGPUB; USPAT	OR	ON	2007/01/19 11:54
S53	0	("2002/0161687").URPN.	USPAT	OR	ON	2007/01/19 11:55

## EAST Search History

S54	30	("4412287"   "4674044"   "4750135"   "5038284"   "5077665"   "5101353"   "5270922"   "5297031"   "5297032"   "5319382"   "5339392"   "5375055"   "5490245"   "5774878"   "6014643"   "6029146").PN. OR ("6195647").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/01/19 12:03
S55	17	S54 and S19	US-PGPUB; USPAT; USOCR	OR	ON	2007/01/19 12:03
S56	14141	data adj signal.ab.	US-PGPUB; USPAT	OR	ON	2007/01/22 09:38
S57	57987	wave.ab.	US-PGPUB; USPAT	OR	ON	2007/01/22 09:38
S58	621416	code	US-PGPUB; USPAT	OR	ON	2007/01/22 09:38
S59	96	S56 and S57 and S58	US-PGPUB; USPAT	OR	ON	2007/01/22 09:39
S60	0	("2002/0161687").URPN.	USPAT	OR	ON	2007/05/11 13:48
S61	0	("2002/0161687").URPN.	USPAT	OR	ON	2007/05/11 13:50
S62	0	"09898515".pn.	US-PGPUB; USPAT	OR	ON	2007/05/11 13:52

## EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	6459	705/35-37.ccls.	US-PGPUB; USPAT	OR	ON	2007/05/11 17:27
S2	3655305	@ad<"20020107"	US-PGPUB; USPAT	OR	ON	2007/05/11 08:36
S3	1268523	trad\$6 or auction\$9 or market or exchang\$6	US-PGPUB; USPAT	OR	ON	2007/05/11 08:47
S4	1267450	derivative or stock or bond or security or commodity or (finacial or equity or debt) near3 instrument	US-PGPUB; USPAT	OR	ON	2007/05/11 08:49
S5	84359	(market or limit or conditional or stop or day) near4 order	US-PGPUB; USPAT	OR	ON	2007/05/11 09:04
S6	14158	S3 and S4 and S5	US-PGPUB; USPAT	OR	ON	2007/05/11 08:51
S7	6459	705/35-37.ccls.	US-PGPUB; USPAT	OR	ON	2007/05/11 08:51
S8	6459	S7	US-PGPUB; USPAT	OR	ON	2007/05/11 08:51
S9	3655305	@ad<"20020107"	US-PGPUB; USPAT	OR	ON	2007/05/11 08:51
S10	3655305	S9	US-PGPUB; USPAT	OR	ON	2007/05/11 08:51
S11	555	S6 and S8 and S10	US-PGPUB; USPAT	OR	ON	2007/05/11 08:55
S12	9732	(market or limit or conditional or stop or day) adj order	US-PGPUB; USPAT	OR	ON	2007/05/11 09:05
S13	287	S11 and S12	US-PGPUB; USPAT	OR	ON	2007/05/11 10:17
S14	268	S11 not S13	US-PGPUB; USPAT	OR	ON	2007/05/11 10:26
S15	324782	(order or transaction) near4 (process\$7 or execut\$7)	US-PGPUB; USPAT	OR	ON	2007/05/11 10:27
S16	420	S11 and S15	US-PGPUB; USPAT	OR	ON	2007/05/11 10:27
S17	246	S12 and S16	US-PGPUB; USPAT	OR	ON	2007/05/11 10:29
S18	41	S13 not S17	US-PGPUB; USPAT	OR	ON	2007/05/11 10:27
S19	0	("2002/0161687").URPN.	USPAT	OR	ON	2007/05/11 13:53

## EAST Search History

S20	11	("20020082967"   "5297031"   "5297032"   "6195647"   "6272474"   "6278982"   "6408282"   "6536935"   "6629082"   "6631356"   "7020632").PN. OR ("7181424").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2007/05/11 13:54
S21	0	("2003/0009414").URPN.	USPAT	OR	ON	2007/05/11 13:54
S22	0	("2002/0073016").URPN.	USPAT	OR	ON	2007/05/11 13:54
S23	1	"20030130925".pn.	US-PGPUB; USPAT	OR	ON	2007/05/11 17:28
S24	2	internalization adj execution	US-PGPUB; USPAT	OR	ON	2007/05/11 17:28
S25	1	S23 and S24	US-PGPUB; USPAT	OR	ON	2007/05/11 17:28

Discussed w/  
 Andrew Fisher SPE 5/11/07  
 "Swamy" Subramanian  
 Primary Finance